

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
50120-00041

In Re Application Of:

Barthel et al.

Serial No. Not Yet Assigned	Filing Date December 12, 2003	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
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Title:

TREATMENT OF SURFACES TO STABILIZE HEAVY METALS

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
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Signature

Dated: December 12, 2003

David F. Dockery
Registration No. 34,323
Marsh Fischmann & Breyfogle LLP
3151 S uth Vaughn Way, Suite 411
Aurora, CO 80014
303-338-0997

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

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50120-00041

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FILING

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GROUP

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,637,355	06/10/97	Stanforth et al.	427	341	
	2.	5,667,696	09/16/97	Studer et al.	210	702	
	3.	5,674,108	10/07/97	Rolle	451	38	
	4.	5,700,107	12/23/97	Newton	405	128	
	5.	5,827,574	10/27/98	Stanforth et al.	427	341	
	6.	5,902,392	05/11/99	Henkelman et al.	106	260	
	7.	5,911,838	06/15/99	Barnett	134	38	
	8.	6,001,185	12/14/99	Huff	134	2	
	9.	6,050,929	04/18/00	Forrester	588	256	
	10.	6,089,955	07/18/00	Rolle et al.	451	38	
	11.	6,186,939	02/13/01	Forrester	588	256	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	6,258,018	07/10/01	Pal et al.	588	256	
	13.	6,515,053	02/04/03	Forrester	524	140	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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